

Format of Holding of Specified securities

1. Name of Listed Entity: OPTO CIRCUITS (INDIA) LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 532391, OPTOCIRCUJ, EQUITY SHARES
3. Share Holding Pattern Filled under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2017
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a. Whether the Listed Entity has issued any partly paid up shares		✓
b. Whether the Listed Entity has issued any Convertible Securities or Warrants?		✓
c. Whether the Listed Entity has any shares against which depository receipts are issued?		✓
d. Whether the Listed Entity has any shares in locked-in?	✓	
e. Whether any shares held by promoters are pledge or otherwise encumbered?		✓

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter & Promoter Group	4	64812462	0	0	64812462	✓ 26.75
(B)	Public	96229	177506945	0	0	177506945	✓ 73.25
(C)	Non Promoter-Non Public						
(C1)	Shares underlying DRs	0	0	0	0	0	NA
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00
	Total:	96233	242319407	0	0	242319407	✓ 100.00

Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
Class X	Class Y	Total	(X)	(XI)	(XII)	(XII)	(XII)	(XIV)	
64812462	0	64812462	0	26.75	0	0.00	0	0.00	64812462
177506945	0	177506945	0	73.25	7733891	4.36	NA	NA	176573269
0	0	0	0	0.00	0	0.00	NA	NA	0
0	0	0	0	0.00	0	0.00	NA	NA	0
242319407	0	242319407	0	100.00	7733891	3.19	0	0.00	241385731

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(1)	Indian							
(a)	Individuals/hindu undivided Family							
	JAYESH PATEL	AABPP8199G	3	55826312	0	0	55826312	23.04
	VINOD PARASRAM RAMNANI	AANPR1062Q	1	11567555	0	0	11567555	4.77
	USHA VINOD RAMNANI	AANPR1067M	1	34143581	0	0	34143581	14.09
(b)	Central Government/State Government(s)		1	10115176	0	0	10115176	4.17
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00
(d)	Any Other		0	0	0	0	0	0.00
	Sub-Total (A)(1)		3	55826312	0	0	55826312	23.04
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals							
	THOMAS DIETIKER	ALMPD5485F	1	8986150	0	0	8986150	3.71
(b)	Government		1	8986150	0	0	8986150	3.71
(c)	Institutions		0	0	0	0	0	0.00
			0	0	0	0	0	0.00
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00
(e)	Any Other		0	0	0	0	0	0.00
	Sub-Total (A)(2)		1	8986150	0	0	8986150	3.71
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	64812462	0	0	64812462	26.75

Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
Class X	Class Y	Total				No.	As a % of total Shares held	No.	As a % of total Shares held	
No of Voting Rights										
		(IX)		(X)	(XI)	(XII)	(XIII)	(XIV)		
55826312	0	55826312	23.04	0	23.04	0	0.00	0.00	55826312	
11567555	0	11567555	4.77	0	4.77	0	0.00	0.00	11567555	
34143581	0	34143581	14.09	0	14.09	0	0.00	0.00	34143581	
10115176	0	10115176	4.17	0	4.17	0	0.00	0.00	10115176	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
55826312	0	55826312	23.04	0	23.04	0	0.00	0.00	55826312	
8986150	0	8986150	3.71	0	3.71	0	0.00	0.00	8986150	
8986150	0	8986150	3.71	0	3.71	0	0.00	0.00	8986150	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
0	0	0	0.00	0	0.00	0	0.00	0.00	0	
8986150	0	8986150	3.71	0	3.71	0	0.00	0.00	8986150	
64812462	0	64812462	26.75	0	26.75	0	0.00	0.00	64812462	

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
(1)	Institutions							
(a)	Mutual Funds		0	0	0	0	0	0.00
(b)	Venture Capital Funds		0	0	0	0	0	0.00
(c)	Alternate Investment Funds		0	0	0	0	0	0.00
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00
(e)	Foreign Portfolio Investors		5	209003	0	0	209003	0.09
(f)	Financial Institutions/Banks		9	4408491	0	0	4408491	1.82
(g)	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	4	3320434	0	0	3320434	1.37
(h)	Insurance Companies		0	0	0	0	0	0.00
(i)	Provident Funds/Pension Funds		0	0	0	0	0	0.00
	Any Other							
	FOREIGN NATIONALS		1	39	0	0	39	0.00
	Sub Total (B)(1)		15	4617533	0	0	4617533	1.91
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00
	Sub Total (B)(2)		0	0	0	0	0	0.00
(3)	Non-Institutions							
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		92784	84465060	0	0	84465060	34.86
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2		762	47275599	0	0	47275599	19.51
(b)	NBFCs Registered with RBI		11	118840	0	0	118840	0.05
(c)	Employee Trusts		0	0	0	0	0	0.00
(d)	Overseas Depositories (Holding DfIs)(Balancing figure)		0	0	0	0	0	0.00
(e)	Any Other							
	TRUSTS		2	4058	0	0	4058	0.00
	NON RESIDENT INDIANS	*	1189	23893647	0	0	23893647	9.86
	PUSHPA BOSE	AKKP84375M	1	2778061	0	0	2778061	1.15
	CLEARING MEMBERS		102	590002	0	0	590002	0.24
	NON RESIDENT INDIAN NON REPATRIABLE	*	421	1377507	0	0	1377507	0.57
	BODIES CORPORATES	*	942	15153412	0	0	15153412	6.25
	FRACTIONAL SHARES		1	11287	0	0	11287	0.00
	Sub Total (B)(3)		96214	172889412	0	0	172889412	71.35
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		96229	177506945	0	0	177506945	73.25

Number of Voting Rights held in each class of securities		No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
Class X	Class Y			No.	As a % of total Shares held		
No of Voting Rights		Total as a % of (A+B+C)	(X)	(XI)	(XII)	(XIII)	(XIV)
		(IX)					
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
209003	0	209003	0.09	0	0.00	NA	209003
4408491	0	4408491	1.82	0	0.00	NA	4408491
3320434	0	3320434	1.37	0	0.00	NA	3320434
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
39	0	39	0.00	0	0.00	NA	39
4617533	0	4617533	1.91	0	0.00	NA	4617533
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
84465060	0	84465060	34.86	48982	0.06	NA	84181547
47275599	0	47275599	19.51	3360869	7.11	NA	46981902
118840	0	118840	0.05	0	0.00	NA	118840
	0	0	0.00	0	0.00	NA	0
	0	0	0.00	0	0.00	NA	0
4058	0	4058	0.00	0	0.00	NA	4058
23893647	0	23893647	9.86	4273642	17.89	NA	23552335
2778061	0	2778061	1.15	0	0.00	NA	2778061
590002	0	590002	0.24	0	0.00	NA	590002
1377507	0	1377507	0.57	47098	3.42	NA	1377507
15153412	0	15153412	6.25	3300	0.02	NA	15149545
11287	0	11287	0.00	0	0.00	NA	0
172889412	0	172889412	71.35	7733891	4.47	NA	171955736
177506945	0	177506945	73.25	7733891	4.36	NA	176573269

Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held	
No of Voting Rights			Total as a % of (A+B+C)						
		(IX)	(X)	(XI)	(XII)		(XIII)	(XIV)	
0	0	0	0	0.00	0	0.00	NA	0	0
0	0	0	0	0.00	0	0.00	NA	0	0
0	0	0	0	0.00	0	0.00	0.00	0	0

Details of the shareholders acting as persons in Concert including their Shareholding:		
Name of Shareholder	Name of PAC	No of shares
		Holding%
Total:		0
		0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares